



Part II

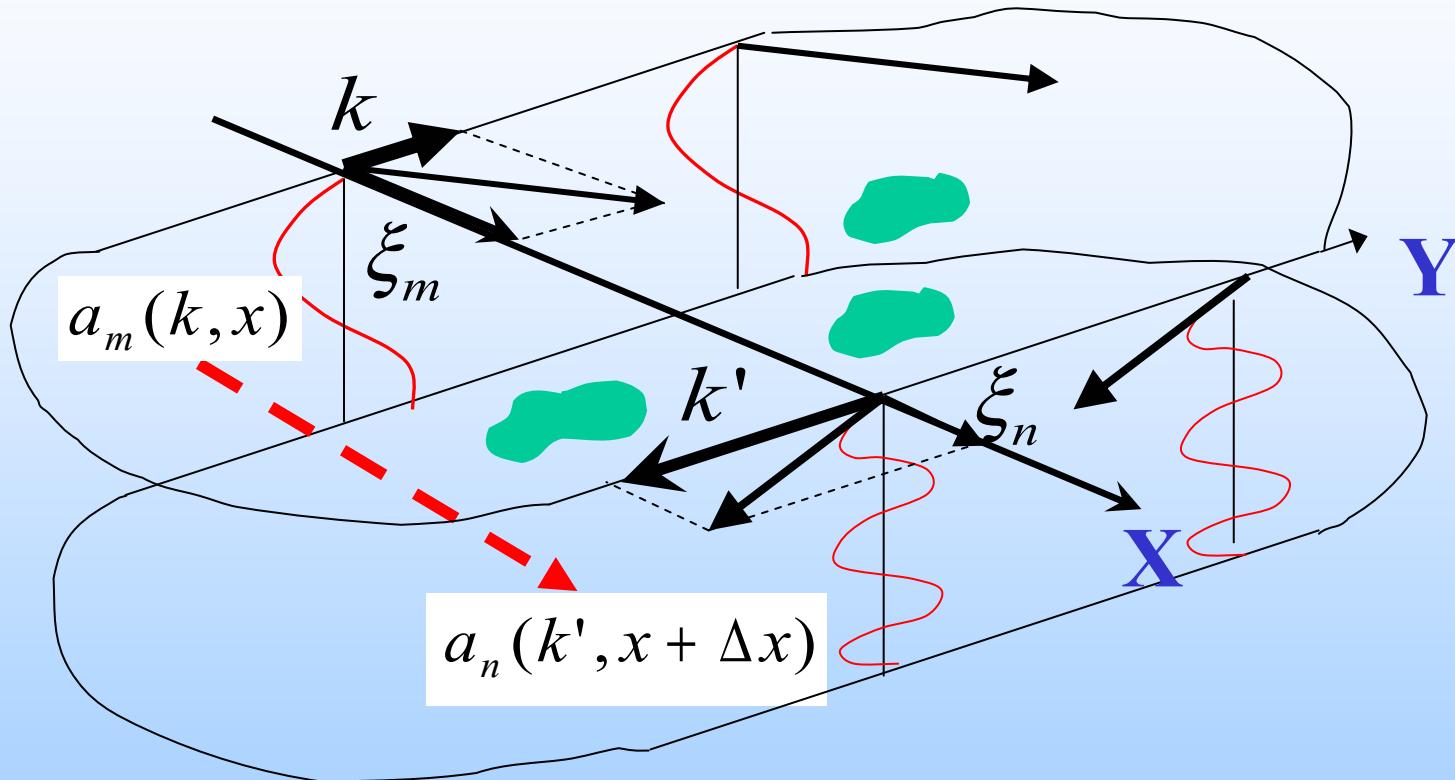


Scattering of low-frequency sound from internal waves

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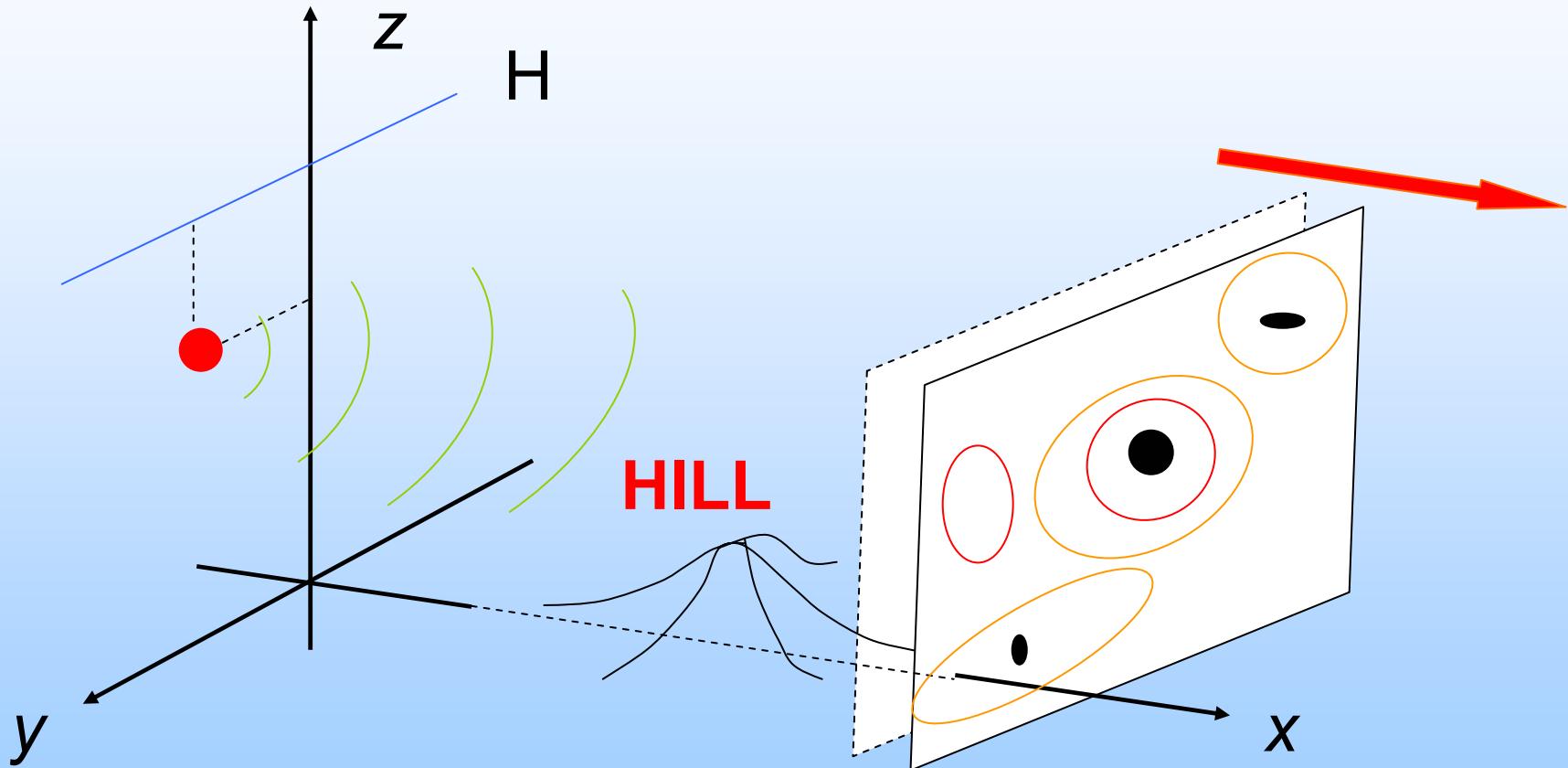
Acoustic mode scattering matrix

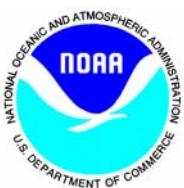


$$p = u_m(z) \exp(i\xi_m x + iky) + \sum_n u_n(z) \int dk' S_{nm}(k', k) \exp[i\xi'_m (x - \Delta x) + ik'y]$$



Source imaging: utilizing a concept of scattering matrix





Source image as a function of X

Parameters :

Depth = 100 m

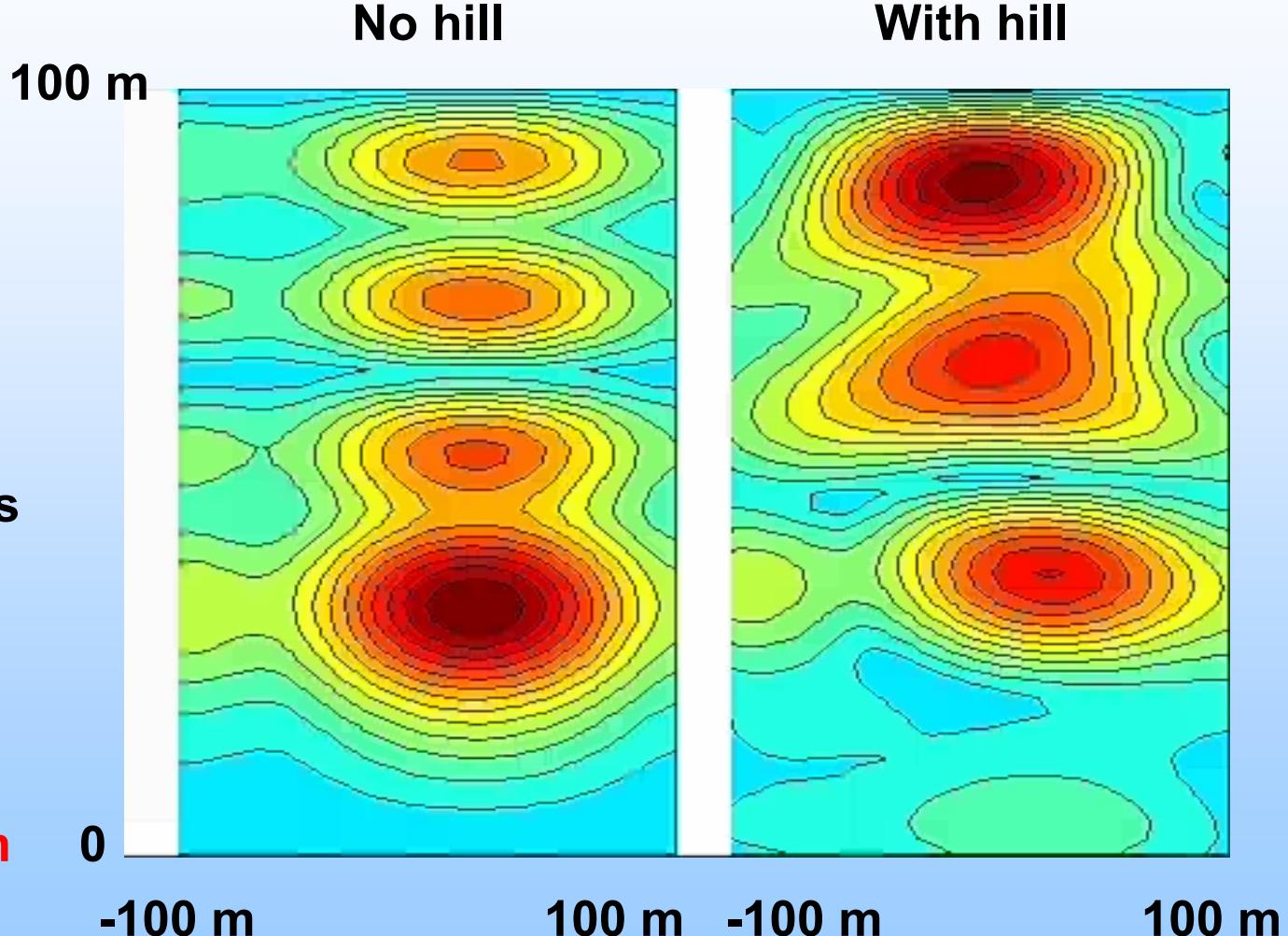
F = 100 Hz

N = 6 modes

Zs = 70 m

Xs = -500 m

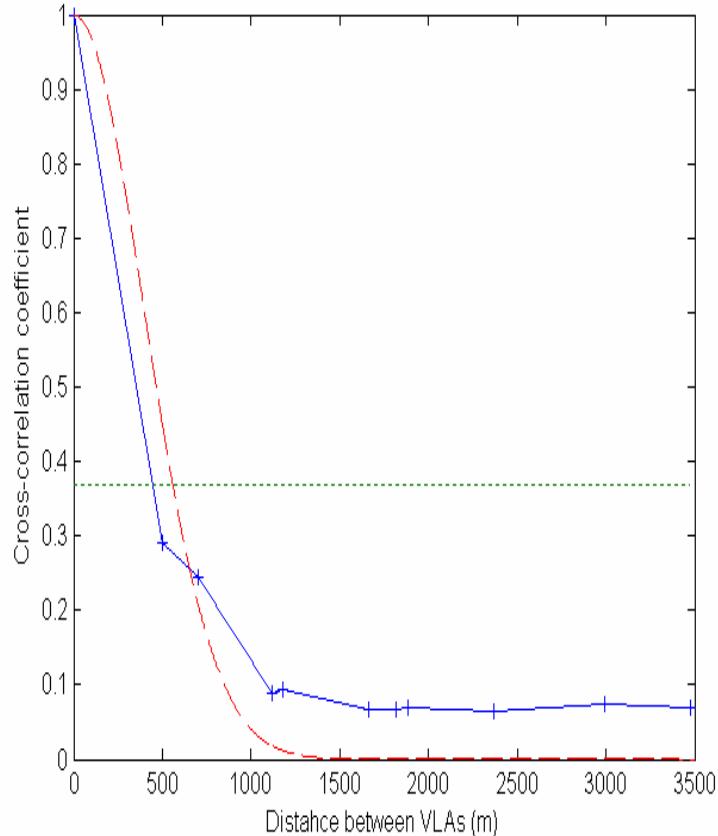
500 m < x < 540 m



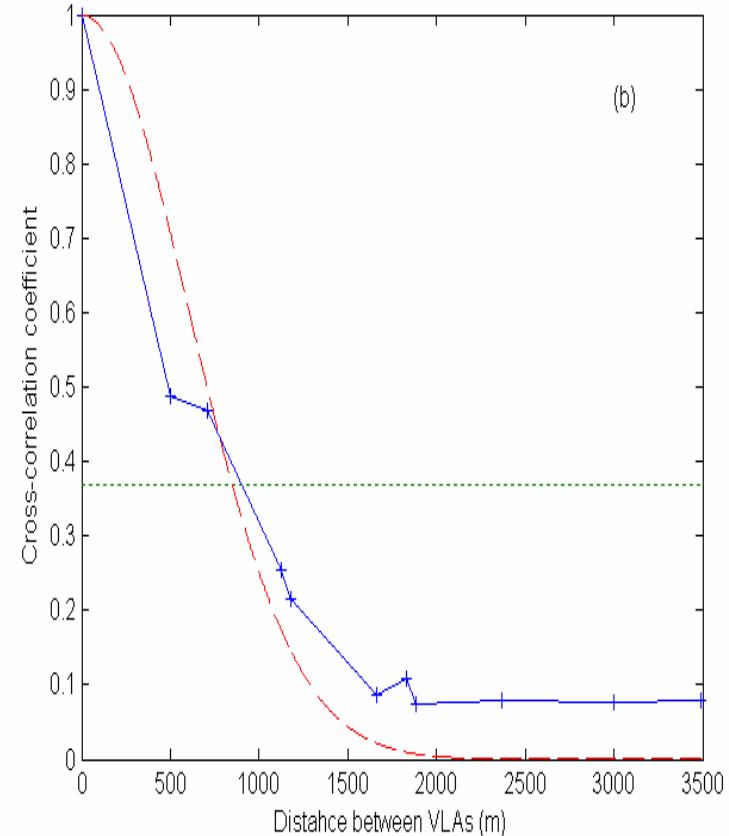


Scattering from Internal Waves

Correlation functions



$$r_c = 560 \text{ m}$$



$$r_c = 850 \text{ m}$$



Solution at large X

$$\tilde{I}_n(k, x) \rightarrow \sqrt{\frac{\pi}{k_0^4 l_* x}} \exp\left(-\frac{k^2}{4k_0^4 l_* x}\right)$$

$$l_* = \frac{\pi}{2} \sum_{n,m,a} \int k^2 P_a(b_n - b_m, k) \left[N_{nm}^a \left(\sqrt{(b_n - b_m)^2 + k^2} \right) \right]^2 dk$$

Angular spectrum: $\frac{\delta k}{k_0} = k_0 \sqrt{l_* x}$

Correlation function:

$$C_n(x, \Delta y) = \frac{1}{b_n} \int dk e^{ik\Delta y} \tilde{I}_n(k) = \frac{2\pi}{b_n} \exp\left[-k_0^4 l_* x (\Delta y)^2\right]$$



Modal scattering matrix (cont.)



$$a_n(k, x + \Delta x) = a_n(k, x) e^{i \xi_n \Delta x} + \sum_m \int dk' S_{nm}(k, k') a_m(k', x)$$

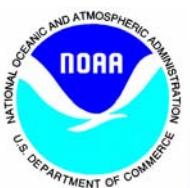
$$\Psi(x, y, z) = \sum_n u_n(z) \int dk a_n(k, x) e^{i \xi_n x + iky}$$

Some notations:

$$\frac{d^2 u_n}{dz^2} + \left(\frac{\omega^2}{c^2(z)} - b_n^2 \right) u_n = 0, \quad u_n(0) = u_n(-\infty) = 0$$

$$\xi_n = \xi_n(k) = \sqrt{b_n^2 - k^2}$$

$$\int u_n u_m dz = \delta_{nm}$$



Statistical description



$$a_n(k, x) = \bar{a}_n(k, x) + \Delta a_n(k, x)$$

Characteristics
of the field
(to be calculated)

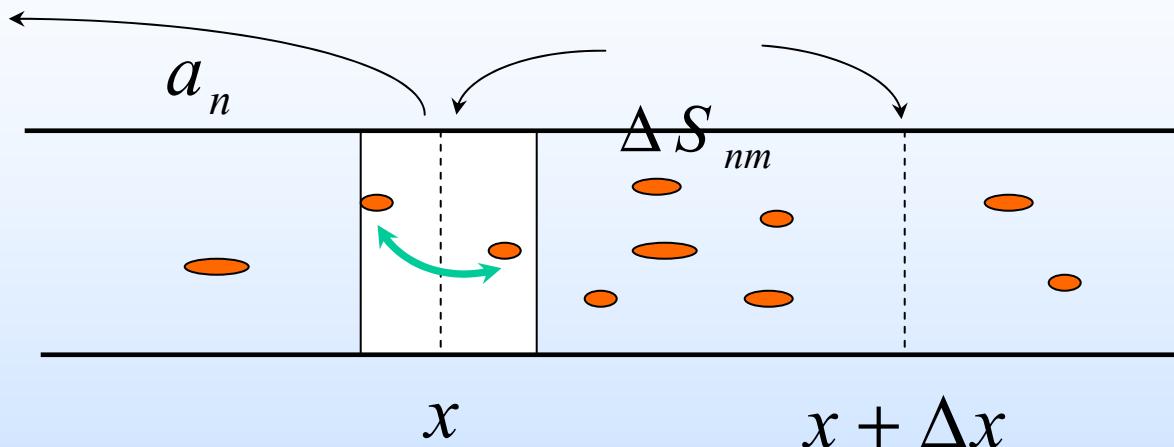
$$\langle \Delta a_n(k - \eta/2, x) \Delta a_m^*(k + \eta/2, x) \rangle = B(k, x; \eta)$$

$$S_{mn}(k, k_0) = \Delta x \bar{S}_{mn}(k) \delta(k - k_0) + \Delta S_{mn}(k, k_0)$$

$$\begin{aligned} \langle \Delta S_{n_1 m_1}(k - \eta/2, k_0 - \eta/2) \Delta S_{n_2 m_2}^*(k + \eta/2, k_0 + \eta/2) \rangle &= \\ &= \Delta x E_{n_1 m_1, n_2 m_2}(k, k_0; \eta) \delta(\eta - \eta_0) \end{aligned}$$

Statistical parameters
of the medium

Markov approximation



$$\langle a_n(k,x) \cdot \Delta S_{k_1 m_1} \cdot \Delta S^*_{k_2 m_2} \rangle = \langle a_n(k,x) \rangle \langle \Delta S_{k_1 m_1} \cdot \Delta S^*_{k_2 m_2} \rangle$$

$$\langle \Delta a_n \cdot \Delta a_m \cdot S_{kl} \rangle = \langle \Delta a_n \cdot \Delta a_m \rangle \langle S_{kl} \rangle$$

etc.



Energy conservation



Constant energy flux in the absence of losses:

$$\sum_n \int dk \xi_n \left[|a_n(k, x + \Delta x)|^2 + B_{nn}(k, x + \Delta x; 0) \right] = \\ = \sum_n \int dk \xi_n \left[|a_n(k, x)|^2 + B_{nn}(k, x; 0) \right]$$



Optical theorem



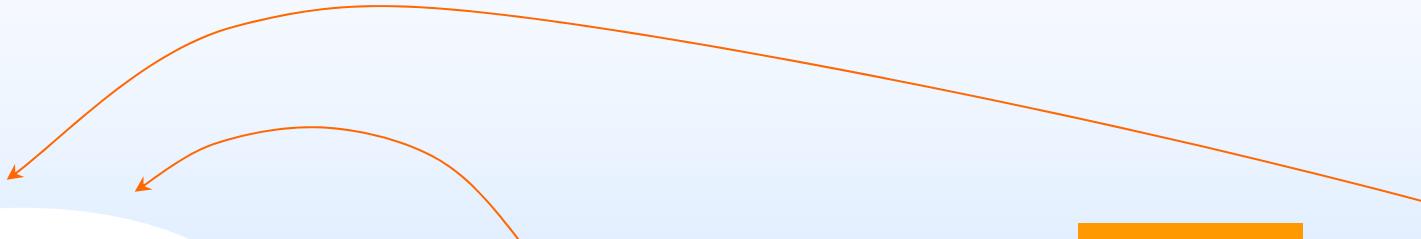
$$\xi_{n_1} e^{i \xi_{n_1} \Delta x} \bar{S}_{n_1 n_2}^*(k) + \xi_{n_2} e^{-i \xi_{n_2} \Delta x} \bar{S}_{n_2 n_1}(k) + \\ + \sum_m \int dk' \xi'_m E_{mm, n_1 n_2}(k', k; 0) + \Delta x \sum_m \xi_m \bar{S}_{mn_1}(k) \bar{S}_{mn_2}^*(k) = 0$$



Governing equations for average field



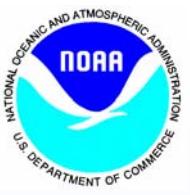
$$\bar{a}_n(k, x + \Delta x) = \bar{a}_n(k, x) e^{i \xi_n \Delta x} + \Delta x \sum_m \bar{S}_{nm}(k) \bar{a}_n(k, x)$$



$$\sum_m \bar{S}_{nm}(k) \bar{a}_n(k, x)$$



**Characteristic
of scatterers**



Governing equations for correlations



$$\begin{aligned} B_{nn'}(k, x + \Delta x; \eta) &= B_{nn'}(k, x; \eta) e^{i[\xi_n(k - \eta/2) - \xi_{n'}(k + \eta/2)]\Delta x} + \\ &+ \Delta x \sum_{m'} e^{i\xi_n(k - \eta/2)\Delta x} \bar{S}_{n'm'}^*(k + \eta/2) B_{nm'}(k, x; \eta) + \\ &+ \Delta x \sum_{m'} e^{-i\xi_{n'}(k + \eta/2)\Delta x} \bar{S}_{nm}(k - \eta/2) B_{mn'}(k, x; \eta) + \\ &+ \Delta x \sum_{m, m'} \int dk' E_{nn', mm'}(k, k'; \eta) B_{mm'}(k', x; \eta) + \\ &+ \Delta x \sum_{m, m'} \int dk' E_{nn', mm'}(k, k'; \eta) \bar{a}_m(k' - \eta/2, x) \bar{a}_{m'}^*(k' + \eta/2, x) + \\ &+ \Delta x \sum_{m, m'} \bar{S}_{nm}(k - \eta/2) \bar{S}_{n'm'}^*(k + \eta/2) B_{mm'}(k', x; \eta) \end{aligned}$$



Calculation of scattering



$$\Psi(\vec{r}, z) = \Psi_{in}(\vec{r}, z) - \frac{\omega^2}{c_{00}^2} \int \theta(x - x') G_0(\vec{r} - \vec{r}'; z, z') \Delta n^2(\vec{r}', z') \Psi(\vec{r}', z') d\vec{r}' dz'$$

*Forward scattering
approximation*

*Index of refraction
fluctuations*

$$G_0(\vec{r}; z, z') = -\frac{i}{4\pi} \sum_m u_m(z) u_m(z') \int \frac{dk}{\xi_m(k)} \exp[i\xi_m(k)x + iky]$$



Statistics of fluctuations



$$\langle \Delta n^2(\vec{r}_1, z_1) \Delta n^2(\vec{r}_2, z_2) \rangle = N(\vec{r}_1 - \vec{r}_2; z_1, z_2)$$

$$N(\vec{r}_1 - \vec{r}_2; z_1, z_2) = \int d\vec{q} P_a(q_x, q_y) \sum_a \Phi_a(q, z_1) \Phi_a(q, z_2)$$

IW spectrum *IW modes*



Scattering cross-sections



$$E_{nn',mm'}(k,k';\eta) = \frac{\pi}{2} \left(\frac{\omega}{c_{00}} \right)^4 \frac{\exp[i(\xi_n(k-\eta/2)-\xi_{n'}(k+\eta/2))\Delta x]}{\xi_n(k-\eta/2)\xi_{n'}(k+\eta/2)} \cdot \frac{1-\exp(-i\kappa\Delta x)}{i\kappa\Delta x} \sum_a P_a(\Delta\xi, k-k') N_{nm}^a(q) N_{n'm'}^a(q)$$

IW
spectrum

$$\Delta\xi = \frac{1}{2} [\xi_n(k-\eta/2) - \xi_m(k'-\eta/2) + \xi_{n'}(k+\eta/2) - \xi_{m'}(k'+\eta/2)]$$

$$\kappa = \xi_n(k-\eta/2) - \xi_m(k'-\eta/2) - \xi_{n'}(k+\eta/2) + \xi_{m'}(k'+\eta/2)$$

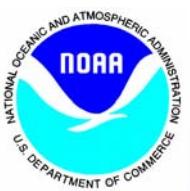
$$N_{nm}^a = \int u_n(z) u_m(z) \Phi_a(q, z) dz$$

IW
modes



Simplification 1

$$\begin{aligned} B_{nn'}(k, x + \Delta x; \eta) = & e^{i[\xi_n(k - \eta/2) - \xi_{n'}(k + \eta/2)]\Delta x} \left\{ B_{nn'}(k, x; \eta) + \right. \\ & + \frac{\Delta x}{b_{n'}} \sum_{m'} \theta_{m'n'}^{(1)} \left(k + \frac{\eta}{2} \right) S_{n'm'}^* B_{nm'}(k, x; \eta) + \\ & + \frac{\Delta x}{b_n} \sum_{m'} \theta_{nm}^{(1)} \left(k - \frac{\eta}{2} \right) S_{nm} B_{mn'}(k, x; \eta) + \\ & + \frac{\Delta x}{b_n b_{n'}} \sum_{m, m'} \theta_{nn', mm'}^{(2)}(k; \eta) \int dk' e_{nn', mm'}(k - k') B_{mm'}(k', x; \eta) + \\ & \left. + \frac{\Delta x}{b_n b_{n'}} \sum_{m, m'} \theta_{nn', mm'}^{(2)}(k; \eta) \int dk' e_{nn', mm'}(k') \bar{a}_m(k' - \eta/2, x) \bar{a}_{m'}^*(k' + \eta/2, x) \right\} \end{aligned}$$



Scattering cross-section-simplified version



$$e_{nn',mm'}(k) = \frac{\pi}{2} \left(\frac{\omega}{c_{00}} \right)^4 \cdot \sum_a P_a(\Delta\xi, k) N_{nm}^a(q) N_{n'm'}^a(q)$$

IW spectrum

↓

$$\Delta\xi = \frac{1}{2} (b_n + b_{n'} - b_m - b_{m'})$$

$$q = \sqrt{(\Delta\xi)^2 + k^2}$$

$$N_{nm}^a = \int u_n(z) u_m(z) \Phi_a(q, z) dz$$



Energy conservation- simplified version



$$\sum_n b_n \int dk \left[B_{nn}(k, x; 0) + |a_n(k, x)|^2 \right] = const$$

Optical theorem – simplified version

$$s_{nm} + s_{mn}^* + \sum_l \frac{1}{b_l} \int e_{ll,nm}(k) dk = 0$$



Spatial averaging



$$\bar{a}_n \propto e^{i\xi_n x} , \quad \bar{B}_{nn'} \propto e^{i(\xi_n - \xi_{n'})x}$$

$$e^{-i\xi_n(k)\Delta x} \bar{a}_n(k, x + \Delta x) = \bar{a}_n(k, x) + \Delta x \frac{S_{nn}}{b_n} \bar{a}_n(k, x)$$

$$e^{-i[\xi_n(k-\eta/2) - \xi_n(k+\eta/2)]\Delta x} B_{nn}(k, x + \Delta x; \eta) = B_{nn}(k, x; \eta) +$$

$$+ \frac{\Delta x}{b_n} (S_{nn} + S_{nn}^*) B_{nn}(k, x; \eta) + \frac{\Delta x}{b_n^2} \sum_m \int dk' \sigma_{nm}(k - k') B_{nm}(k, x) +$$

$$+ \frac{\Delta x}{b_n^2} \sum_m \int dk' \sigma_{nm}(k') |\bar{a}_m(k, x)|^2$$



Scattering cross section

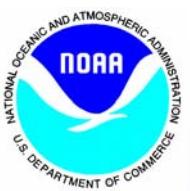


$$\sigma_{nm}(k) = e_{nn,mm}(k) = \frac{\pi}{2} \left(\frac{\omega}{c_{00}} \right)^4 \sum_a P_a(b_n - b_m, k) \left[N_{nm}^a \left(\sqrt{(b_n - b_m)^2 + k^2} \right) \right]^2$$

↑ ↑
Spectrum of fluctuations *Acoustic-IW modes interaction matrix*

Optical theorem:

$$2 \operatorname{Re} s_{nn} + \sum_m \frac{1}{b_m} \int dk \sigma_{nm}(k) = 0$$



Average field



$$\bar{a}_n(k, x) = \bar{a}_n(k, 0) e^{i \xi_n(k) x - \beta_n x}$$

$$\beta_n = -\frac{2 \operatorname{Re} s_{nn}}{b_n} = \frac{1}{b_n} \sum_m \frac{1}{b_m} \int dk \sigma_{nm}(k) > 0$$

$$\langle \Psi(x, y, z) \rangle = -\frac{i}{4} \sum_n u_n(z) u_n(z_s) H_0^{(1)}\left(b_n \sqrt{x^2 + y^2}\right) e^{-\beta_n x}$$



Limit $\Delta x \rightarrow 0$



$$\frac{\partial \bar{a}_n}{\partial x} - i \xi_n \bar{a}_n = \frac{s_{nn}}{b_n} \bar{a}_n$$

$$\begin{aligned} \frac{\partial I_n}{\partial x} - \frac{i \eta k}{2b_n} I_n &= \frac{2 \operatorname{Re} s_{nn}}{b_n} I_n + \frac{\Delta x}{b_n^2} \sum_m \int dk' \sigma_{nm}(k - k') I_m(k') + \\ &+ \frac{1}{b_n^2} \sum_m \int dk' \sigma_{nm}(k') |\bar{a}_m|^2 \end{aligned}$$

$$I_n = I_n(k, x, \eta) = B_{nn}(k, x, \eta)$$

$$\sigma_{nm}(k) = e_{nn,mm}(k)$$



Diffusion approximation



$$\begin{aligned}\frac{\partial \tilde{I}_n}{\partial x} = & -\beta_n \tilde{I}_n + \sum_m \sigma_{nm}^{(0)} \tilde{I}_m + \sum_m \sigma_{nm}^{(2)} \frac{\partial^2 \tilde{I}_m}{\partial k^2} + \\ & + \sum_m \sigma_{nm}^{(0)} b_m |\bar{a}_m(0, x)|^2 e^{-2\beta_m x}\end{aligned}$$

$$\tilde{I}_n = b_n I_n(k, x; \eta)$$

$$\sigma_{nm}^{(0)} = \frac{1}{b_n b_m} \int dk \sigma_{nm}(k) \quad , \quad \sigma_{nm}^{(2)} = \frac{1}{b_n b_m} \int dk \sigma_{nm}(k) k^2$$



Solution at large X

$$\tilde{I}_n(k, x) \rightarrow \sqrt{\frac{\pi}{k_0^4 l_* x}} \exp\left(-\frac{k^2}{4k_0^4 l_* x}\right)$$

Angular spectrum:

$$\frac{\delta k}{k_0} = k_0 \sqrt{l_* x}$$

Correlation function:

$$C_n(x, \Delta y) = \frac{1}{b_n} \int dk e^{ik\Delta y} \tilde{I}_n(k) = \frac{2\pi}{b_n} \exp\left[-k_0^4 l_* x (\Delta y)^2\right]$$



Numerical estimate



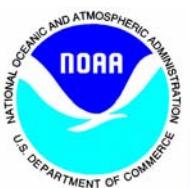
$$l_* = \frac{\pi}{2} \sum_{n,m,a} \int k^2 P_a(b_n - b_m, k) \left[N_{nm}^a \left(\sqrt{(b_n - b_m)^2 + k^2} \right) \right]^2 dk$$

For GM spectrum:

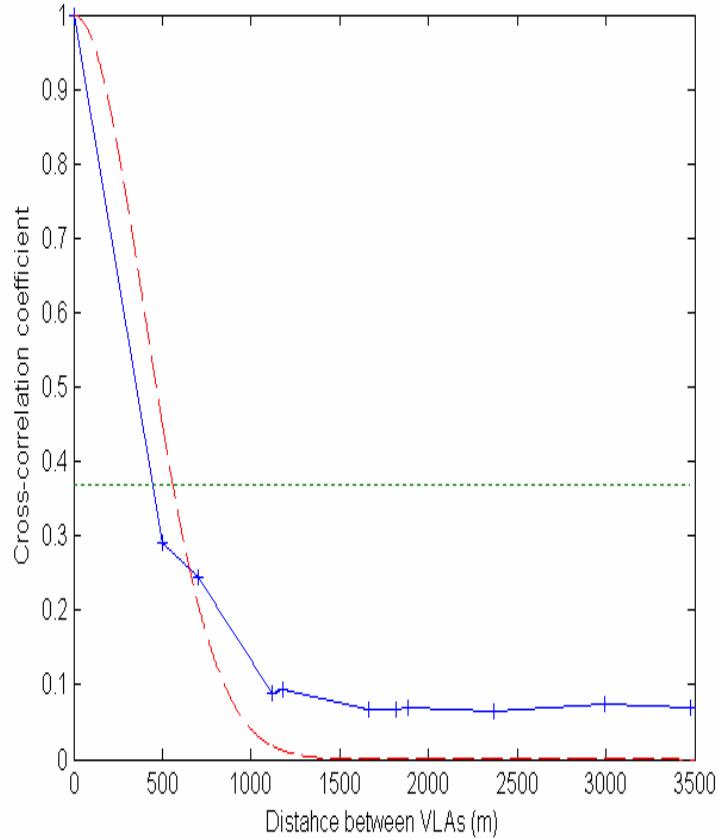
$$l_* = 5.4 \cdot 10^{-11} \text{ m}$$

$$\sigma_\alpha = \frac{\delta k}{k_0} = k_0 \sqrt{l_* x} = 4.6 \cdot 10^{-3} \approx 0.26^\circ$$

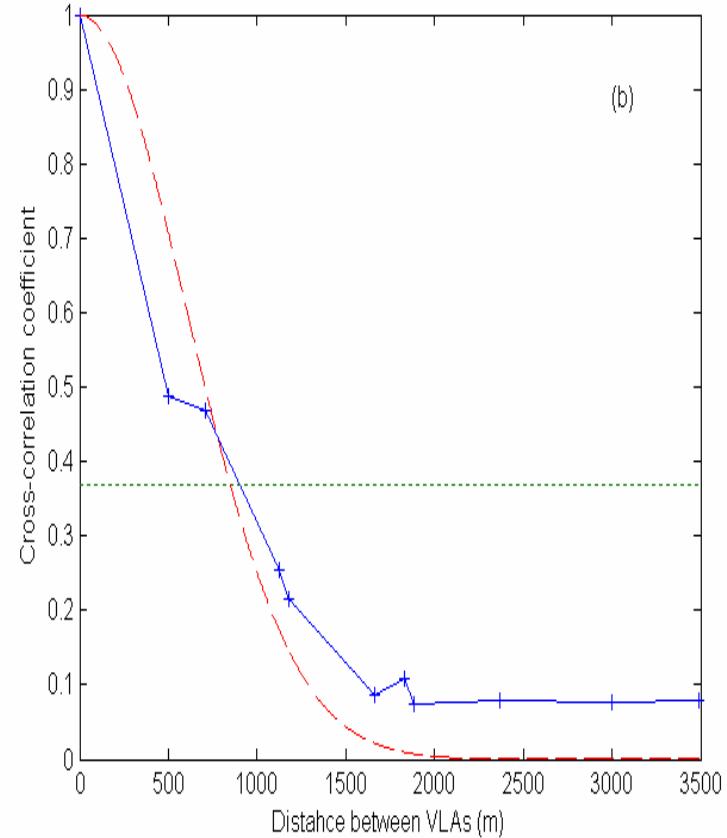
$$\rho_c = \frac{1}{k_0^2 \sqrt{l_* x}} \approx 700 \text{ m}$$



Correlation functions



$$r_c = 560 \text{ m}$$



$$r_c = 850 \text{ m}$$



Conclusions

- Concept of Scattering Matrix can be useful for source imaging applications
- Experimental (NPAL) data indicate that correlation radius of the acoustic field in cross-propagation direction is of the order of 500 m – 1000 m. Decorrelation is explained by scattering at internal waves.
- A theory was developed which is applicable to the low-frequency sound propagation in the real ocean up to frequencies of the order of a few hundred Herz.