ONR SBIR/STTR Award Structure

**Project Feasibility**
- Phase I Base
  - 6 Months (SBIR)
  - 7 Months (STTR)
  - $125K
- Phase I Option
  - 6 Months
  - $100K
- FFP Contract
- NTE $225K

**Technology Development and Prototype Demonstration**
- Phase II Base
  - ~24 Months
  - ≤ $1M
- Phase II Option
  - ~18-24 Months
  - ≤ $1M total
  - 1:1 cost match
  - ≤ $500K SBIR
  - ≥ $500K match
  - ≥ TRL 4 at exit
- CPFF Contract
- NTE $1.5M SBIR/STTR Funding

**Prototype Testing & Evaluation**
- Technology Demo & Validation

**Commercialization Transition**
- Subsequent Phase II
  - Goal is to accelerate transition; driven by Acquisition requirements and a clear transition path
  - Up to 24 months
  - 1:1 cost match
  - Signed TTA may be required *
  - Minimum TRL 4 at entry
- CPFF Contract
- NTE $1.5M SBIR/STTR Funding on Contract; Non-SBIR has unlimited ceiling

**Phase III**
- Transition to Acquisition Program
  - Non-SBIR/STTR $
    - (Private sector or Non-SBIR Gov. $
    - Unlimited POP
    - SBC Extension of Data Rights
- Contract Type Varies
- Phase III contracts may be awarded without competition after Phase I/II
- No limit on funding (Just Non-SBIR/STTR)

**FY17 New Award Amounts**
- NTE $3,225,000 Total SBIR/STTR Funding

**TRL: 0 - 3 2 - 5 4 - 7 6 - 9**

* TTA may be required when sum of phase II SBIR funding ≥ $1.5M

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TTP: Technology Transition Plan
TTA: Technology Transition Agreement

Updated 7 April 2017