The purpose of this amendment is to respond to questions.

1. Questions and Responses are provided as follows:

**QUESTION 1:** I see that all applications shall be submitted via grants.gov. However, I have been unsuccessful in registering. The help desk tells me that there are likely others in this situation. They tell me that it may be a week or longer until this is resolved. I also see that a request for a waiver requires "not less that 30 calendar days prior to closing date."

If the grants.gov registration is not resolved, will I be allowed to submit an electronic and/or hard copy? (I do not want to prepare an application that will not be accepted). Please let me know if there is a "Plan B" available.

**RESPONSE 1:** See Amendment 0001, which provides an alternate submission process.

**QUESTION 2:** On page 7 under full proposals it states that Copies one original and an appropriate number of hard copies and one electronic copy on a DVD are required. If a grant is sought, the full proposal should be submitted electronically through grants.gov.

Since we are submitting a grant, we will submit through grants.gov. Our question is about the paper copies and the DVD. Are the hard copies also required if we submit a grant. If the hard copies are required, do they have to make it to ONR by the deadline?

**RESPONSE 2:** Hard/paper copies are not required for Grants.gov submissions or submissions through the Alternative to Grants.Gov Proposal Submission provided in Amendment 0001.

**QUESTION 3:** We will be submitting a proposal in response to BAA 09-015 and wondered if we also need to submit copies (original, hard copies, DVD) in addition to submitting the proposal via
Grants.gov. Also, what ONR code should we use on the application?

RESPONSE 3: See response to Question 2 above with respect to paper copies. The appropriate ONR code is ONR 322 (page 3 of the BAA).

QUESTION 4: We have a simple budget from a subcontractor that I have attached. Would you tell me if this is OK to submit with the proposal? The subcontractor ... not be able to fill out the grants.gov subcontractor pdf form before we have to submit it to our Sponsored Projects Office.

RESPONSE 4: A budget that provides the information requested in the BAA is acceptable. Paragraph (e) (Proposal Submission) of Amendment 0001 notes that the application package on Grants.gov has been revised to add an "Attachments" form and delete the form entitled "Research and Related Subaward Budget (Total Federal and Non-Federal)." The "Attachments" form has been added to provide the ability to upload/attach PDF files (e.g., subcontract budgets, appendices, or other proposal information required by the BAA).

QUESTION 5: Amendment 0001 to the subject BAA adds Special Notice 4 to Section I. This note is a reminder of the current ceiling on F&A costs paid under contracts and grants using 6.1 funds (Basic Research). The original BAA states in the last paragraph under item 6 of Section I that the funds available to support awards are Budget Activity 2 (Applied Research). Are we to infer from the addition of Special Notice 4 that there has been a change in the funds available for this BAA and that 6.1 funds will be used, thereby, placing a ceiling on F&A costs?

RESPONSE 5: There has been no change in funds available for this BAA. ONR intends to use 6.2 funds to support any successful proposals.

QUESTION 6: On page 5 under 8. Instrument Types - It is anticipated that awards will be in the form of grants, However, the Government reserves the right award cooperative agreements, contracts, or other transaction agreements to appropriate parties, should the situation warrant use of an instrument other than a grant.
My company is registered on CCR however, we have not registered for a Grant. We want to submit a proposal to the subject BAA. There are Instructions on the BAA to submit to [www.grants.gov](http://www.grants.gov). I have gone on this website and I cannot find the area where I would submit our proposal for the subject BAA on this website. Can you guide me there? Or if we have not registered for a Grant can we still propose to this BAA?

And there is also "Instructions for Contracts and Other Transactions" which leads me to a ONR.navy.mil website that includes a sample template for preparing cost proposal for contracts and agreements. However, I do not believe that we would submit our BAA proposal on this website am I correct?

RESPONSE 6: Go to the GRANTS.gov website, and select "Apply for Grants" field in the red box. Enter in the name of the CFDA number provided in the BAA (12.300) or the BAA. Proposals must be submitted via Grants.gov, but see instructions in Amendment 0001 regarding when the alternate submission is acceptable.

QUESTION 7: [For contracts] the instructions state that one (1) original, an appropriate number of hard copies and one electronic copy on DVD should be submitted. Can you tell me the appropriate number of hard copies of our proposal that I should include in our package?

RESPONSE 7: A total of four copies is desired, but is not required.

QUESTION 8A: I'm writing to confirm whether a detailed cost breakdown by task is necessary when applying for a Grant rather than a Contract. In the BAA, under the instructions for Grants and Cooperative Agreements, it does not say it is required but on the Grants.gov electronic application and submission information, there is a sentence under the Research & Related Budget section that says a detailed cost breakdown of all costs, by cost category, by the funding periods, and by task must be provided.

RESPONSE 8A: Yes, provide this detailed cost breakdown. This information is similar to that required for contracts in Part 2 of the cost proposal (see page 9, "Volume 2: Cost Proposal, second paragraph."
QUESTION 8B: Also, I would like to confirm whether an R&R subaward budget form is necessary for our subawardee.

RESPONSE 8B: The subbudget form has been deleted from the list of documents associated with the BAA, so it is not needed. See paragraph (e) of Amendment Proposal Submission.